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from abroad should review the instructions concerning applications and required support documents prior to submitting an application for a license.

[61 FR 12740, Mar. 25, 1996, as amended at 61 FR 64274, Dec. 4, 1996; 63 FR 2456, Jan. 15, 1998]

§ 732.5 Steps regarding Shipper's Export Declaration, Destination Control Statements, record keeping, license applications, and other requirements.

- (a) Step 27—Shipper's Export Declaration. You should review §758.3 of the EAR to determine what notations you must enter on the Shipper's Export Declaration (SED). These steps should be reviewed by exporters. Reexporters and firms exporting from abroad may skip Steps 27 through 29 and proceed directly to §732.6 of this part.
- (1) NLR. The term "NLR" represents exports of listed items when no license is required. Such exports do not require that you qualify for a License Exception. The symbol "NLR" is required on the SED under two circumstances. First, NLR is the correct symbol when exporting an item subject to the EAR not listed on the CCL. Such items are classified EAR99. Secondly, certain items are listed on the CCL but do not require a license to all destinations under General Prohibitions One (Exports and Reexports in the Form Received), Two (Parts and Components Reexports), or Three (Foreign-Produced Direct Product Reexports) (§736.2 (b)(1), (b)(2), or (b)(3) of the EAR). Such items do not have an "X" in the appropriate cell on the Country Chart. If General Prohibitions Four through Ten (§736.2 (b)(4) of the EAR) through (b)(10) of the EAR) also do not apply, you must clear exports of such items by entering the symbol "NLR" in the appropriate place on the SED.
- (2) License Exception symbol. You must enter on any required SED the letter code (e.g., LVS, TMP) of the License Exceptions under which you are exporting. In the case of License Exceptions LVS, GBS, and CIV, the ECCN of the item being exported must also be entered when an SED is required. Please refer to §758.3 of the EAR for detailed information on use of SEDs.

- (3) *License number.* If you are exporting under a license, enter the license number on the SED as required by §758.3 of the EAR.
- (b) Step 28: Destination Control Statement. You are required to enter an appropriate Destination Control Statement (DCS) on commercial documents in accordance with the DCS requirements of §758.6 of the EAR. Exporters should review §758.6 of the EAR and use the DCS as required. Reexporters and exporters from abroad should review §752.6 for DCS requirements when using a Special Comprehensive License. Otherwise, DCS requirements do not apply to reexports and exports from abroad.
- (c) Step 29: Recordkeeping. Records of transactions involving exports under any license or License Exception must be maintained in accordance with the recordkeeping requirements of part 762 of the EAR.

[61 FR 12740, Mar. 25, 1996, as amended at 61 FR 64274, Dec. 4, 1996]

§ 732.6 Steps for other requirements.

Sections 732.1 through 732.4 of this part are useful in determining the license requirements that apply to you. Other portions of the EAR impose other obligations and requirements. Some of them are:

- (a) Requirements relating to the use of a license in §758.2 of the EAR.
- (b) Obligations of carriers, forwarders, exporters and others to take specific steps and prepare and deliver certain documents to assure that items subject to the EAR are delivered to the destination to which they are licensed or authorized by a License Exception or some other provision of the regulations in §758.4 through §758.6 of the EAR.
- (c) Duty of carriers to return or unload shipments at the direction of U.S. Government officials (see §758.8 of the EAR).
- (d) Specific obligations imposed on parties to Special Comprehensive licenses in part 752 of the EAR.
- (e) Recordkeeping requirements imposed in part 762 of the EAR.
- (f) Requirements of part 764 of the EAR to disclose facts that may come